**Substantive Change Request Form**

*Effective* *Date: July 1, 2023*

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**Substantial Change in Mission or Objectives**

**Tier III**

A change in mission or objectives is defined as any significant change that leads to a fundamental shift in the nature of the institution and/or may alter its declared mission. Any event(s) deemed to have a significant impact on the institution’s mission by the Commission, whether or not specifically listed in Commission policy, procedures, guidelines, or in the federal regulations may be included in this type of substantive change. Editorial changes in the language of a mission statement are not considered substantive.

**Review and Organize**

* Read the [Substantive Change Policy](https://www.msche.org/policies-guidelines/?title-search=substantive+change&type=), [Substantive Change Procedures](https://www.msche.org/policies-guidelines/?title-search=substantive+change&type=), [Substantive Change Guidelines](https://www.msche.org/policies-guidelines/?title-search=substantive+change&type=) before completing the request form. This request form aligns with the Substantive Change Procedures effective July 1, 2023.
* Organize analysis and evidence sufficient for review. Several questions on this form contain "examples of evidence." All of the examples are not required.
* Supply evidence that directly relates to the proposed change and provides sufficient information for the peer review process.

**Complete**

* Answer all questions with concise and detailed information.
* The substantive change **request form, including attachments, should not exceed 100 pages**. Do not include attachments that have not been referred to in the request. **Submissions exceeding 100 pages will be returned to the institution for editing and must be resubmitted.**
* All attachments should be labeled appropriately. The form and the attachments should be combined into a **single bookmarked PDF document**.

**Submit**

* Submit the substantive change request form in the MSCHE Institution Portal. Instructions at [www.msche.org/substantive-change/](http://www.msche.org/substantive-change/).

**Date Submitted: Click or tap to enter a date.**

**Institution: Click or tap here to enter text.**

**City, State:** **Click or tap here to enter text.**

Section A: Substantive Change Request

Answer each question.

1. **Mission and objectives (Standard I)**.
   1. Provide the institution’s current mission statement and objectives.

**Click or tap here to enter text.**

* 1. Provide the new mission and/or objectives. Describe the nature of the substantive change.

*(Include in a narrative: New mission statement, if different; and description of alignment of new mission with institutional or strategic planning goals)*

**Click or tap here to enter text.**

1. **Needs Analysis:** Explain the need for the proposed substantive change, including details about the impetus for the change in mission. (***Label attachment Needs Analysis***)

*(In a narrative briefly summarize how the revised mission and/or objectives/goals were developed through appropriate collaborative participation, including consultation with key institutional stakeholders, e.g., faculty, staff, administration, students, board of trustees, community, employers, etc.*

*Examples of evidence: Details of the formal approval and adoption process of a new mission statement; market analysis; student surveys; national trends; employment statistics; student demands; assessment and planning documentation; documentation of discussions of assessment results by stakeholders; documentation of discussions with community partners about the proposed substantive change)*

**Click or tap here to enter text.**

1. **Timeline:** Provide a timeline of the proposed substantive change with critical milestones. Include the anticipated effective date of the mission change. (***Label attachment Timeline***)

Section B: Compliance with the Commission’s Standards for Accreditation, Requirements of Affiliation, Policies and Procedures, and Applicable Federal Regulatory Requirements

The Commission will only include a substantive change within the institution’s scope of accreditation if the change does not adversely affect the institution's compliance with the Commission's standards for accreditation, requirements of affiliation, policies and procedures, and applicable federal regulatory requirements.

1. **Communication (Standard II):**
   1. Describe how the institution will ensure that the new mission and/or objectives have been publicized and made known to key institutional stakeholders.

**Click or tap here to enter text.**

* 1. Describe how the institution will ensure that information about the proposed substantive change will be honestly and truthfully conveyed in public relations announcements, advertisements, and in all materials and communications.

**Click or tap here to enter text.**

1. **Faculty and/or Staffing Needs (Standard III):** 
   1. Describe the institution’s faculty and staff that will be impacted by the mission change.

**Click or tap here to enter text.**

* 1. Describe how your faculty and staffing needs will change as result of the mission change.

**Click or tap here to enter text.**

1. **Organizational Structure (Standard VII):** Describe how the institution will provide oversight of the new mission and/or objectives, including administrative staff with appropriate credentials and experience. (***Label attachment Organizational Structure***)

*(Include in a narrative: Description of administrative staff, include professional qualifications, who will be providing services or oversight of the proposed change including roles and responsibilities. Examples of evidence: Organization chart with institution and/or unit levels relating to proposed change*).

**Click or tap here to enter text.**

1. **Student Population (Standard IV):**

* 1. Describe your institution’s current student population.

**Click or tap here to enter text.**

* 1. How will this change impact the overall student population?

**Click or tap here to enter text.**

*(Include in a narrative: Description of current and projected student population data; description of current student population demographics, past and future; descriptions of impact on academic programming and student services.)*

1. **Support of the Student Experience (Standard IV):** 
   1. Briefly describe your institution’s current student support services that will be impacted by the new mission and/or objectives.

**Click or tap here to enter text.**

* 1. Explain the extent to which these services will be sustained or revised/enhanced to correspond to the new mission and/or objectives.

**Click or tap here to enter text.**

1. **Educational Effectiveness Assessment Processes (Standard V):** If new educational programs are associated with the proposed substantive change, explain how they will be included in the institution’s educational effectiveness assessment process. *(****Label attachment Assessment***)

If this substantive change does not involve an educational program or student services, check “N/A” below.  N/A

*(Include in a narrative: How programs associated with proposed substantive change will participate in the institution’s educational effectiveness assessment process.*

*Examples of evidence: Student learning assessment plan related to the substantive change).*

**Click or tap here to enter text.**

1. **Financial and Risk Analysis (Standard VI):**
2. Provide an analysis of the financial impact of the change in mission and/or objectives on the institution. Include three-year financial projections. (***Label attachment Financial Projections***)

(*Include in a narrative:* *Financial analysis with* *an* e*xplanation of assumptions, projections of costs and revenues, and planned revenues and costs; narrative of budgeting and planning processes and how they will incorporate institutional changes associated with the proposed substantive change; net revenue statement associated with proposed change.*

*Examples of evidence: Three-year financial projections associated with the proposed substantive change*).

**Click or tap here to enter text.**

1. Provide an analysis of risk factors that might impact the successful implementation of the change in mission and/or objectives.

*(Include in a narrative: Budgeting and planning processes and how they will incorporate institutional changes associated with the proposed substantive change; references to and/or evidence of institutional and/or unit “SWOT” analyses*).

**Click or tap here to enter text.**

1. **Organizational Capacity (Standard VI):** Explain how the institution plans to engage in regular resource planning processes ensuring overall sustainability and quality associated with the implementation of the new mission and/or objectives. Please address topics such as adequate facilities, equipment, and technology, as well as how this change is considered in the institution’s facilities master plan.

**Click or tap here to enter text.**

1. **Institutional Improvement and Planning (Standard VI):** Describe how the change in mission and/or objectives will be evaluated. How will this evaluation be integrated in the institution’s institutional effectiveness and continuous improvement processes?

(*Include in a narrative: Description of unit or assessment processes;* r*elated aspects of the Institutional strategic plan).*

**Click or tap here to enter text.**

1. **Related Entities (Standard VII)**. If this substantive change involves a related entity as defined in the Commission’s *Related Entities Policy and Procedures*, please answer the following questions:
2. Describe the institution’s relationship with the related entit(ies) and the entity’s role in the substantive change, particularly in the delivery of any educational program.

**Click or tap here to enter text.**

1. The Commission staff may request that the institution provide the *Related Entities Required Disclosures and Certification Statement* for certain types of substantive changes. Provide the certification statement only upon request.
2. **Title IV Certification**. If the institution participates in Title IV student financial aid programs with the United States Department of Education (USDE), please answer the following questions:
   1. Will the institution apply for certification to participate in Title IV programs or submit a change to the E-App related to this substantive change?
   2. Attach the institution’s current Eligibility and Certification Approval Report (ECAR).

*The institution must also submit an updated E-CAR to the Commission documenting the USDE approval of the change as soon as it is available. Email the document to* [*substantivechange@msche.org*](mailto:substantivechange@msche.org)*.*

1. Please provide, very briefly, any additional information that may be helpful to the Commission regarding this substantive change.

**Click or tap here to enter text.**

**Section C: Institutional Standing with Accrediting Agencies**

Complete the following table.

|  |  |  |
| --- | --- | --- |
| Institutional Standing Question | Accrediting Agency Status | If **YES**, please indicate:   * Name of the agency * Due date of the next report * Attach a copy of the most recent related action letter |
| Is the institution currently in non-compliance status (e.g., warning, probation, show-cause) or subject to any adverse action with the Commission? | NO  YES |  |
| Has any other accrediting agency asked for follow-up reporting from the institution, including any of its branch campuses, additional locations, or other sites within the last two full academic years, including the current year? | NO  YES |  |
| Is the institution being monitored or reviewed by any federal agency, including the United States Department of Education, or any state agency for any reason (including but not limited to Title IV program responsibilities, heightened cash monitoring), including any of its branch campuses, additional locations, or other sites within the last two full academic years, including current year? | NO  YES |  |

**Section D: Required Approvals for the Substantive Change**

List and **provide written documentation** of all required internal and external approvals required for the proposed substantive change.  The institution must provide written documentation from the entity or agency to demonstrate if no approval is required (e.g., correspondence, regulation, statute). The institution must provide written documentation of all required approvals to the Commission as soon as they are received.  (*Label attachments Board-Approval, State-Agency-Approval, Federal Agency-Approval, etc.)*

|  |  |  |  |
| --- | --- | --- | --- |
| Type of Approvals | Name of Agency | Obtained? | Date Received/  Date Expected  *If not required, provide documentation* |
| Institutional  (*administration, faculty, committees, governing body*) |  | \*NO  YES |  |
| System |  | NO  YES  N/A |  |
| State |  | NO  YES |  |
| Federal  *(including USDE and any Title IV implications)* |  | NO  YES |  |
| International |  | NO  YES  N/A |  |
| Other Legal, Contractual, or Other Required |  | NO  YES  N/A |  |

*\* The institution must provide, at a minimum, written documentation of all required institutional approvals with the submission of this form.*

**Section E: Checklist and Certifications**

By signing and submitting this *Substantive Change Request Form*, the individual below certifies that:

* The *Substantive Change Policy* *and* *Procedures* have been reviewed.
* The information included in the substantive change request form is accurate at the time of submission.
* The institution will notify the Commission of subsequent, significant developments that could affect the Commission's decision.
* The substantive change request form has been completed in its entirety and attachments are appropriately labeled to facilitate the review.
* The appropriate individuals at the institution have authorized the submission of this request for review by the Commission.
* The institution understands it must take into consideration applicable State laws, the Family Educational Rights and Privacy Act (FERPA) and other privacy laws. The institution may redact personal or sensitive information in accordance with these laws, for submission to the Commission.
* The institution understands that prior approval of all substantive changes before implementation is required by the Commission.
* The institution understands that retroactive approval will not be included within the scope of the institution's accreditation status.
* The institution understands it should not advertise or market the substantive change before the request is submitted.
* The institution understands that the Commission will not include a substantive change if it adversely affects the institution's compliance with the Commission's standards for accreditation, requirements of affiliation, policies and procedures, and applicable federal regulatory requirements.
* The institution understands that the Commission reserves the right to reject incomplete requests or requests that exceed 100 pages.
* The institution understands that it must provide immediate notification of any outstanding information (e.g., a site visit, evidence of appropriate approvals, etc.). The institution's responsibility is to ensure all conditions have been met and that the Commission has received all necessary documentation.
* The institution understands that the Commission may take action in accordance with its *Accreditation Actions Policy and Procedures*.

**The Substantive Change Request Form must be submitted by the institution's Accreditation Liaison Officer (ALO)**

Provide Name, Title, Email, and Phone Number of (ALO) submitting this request:

|  |
| --- |
| Name: **Click or tap here to enter text.**  Title: **Click or tap here to enter text.**  Email: **Click or tap here to enter text.** Phone Number: **Click or tap here to enter text.** |

*Please sign by typing the name of the Accreditation Liaison Officer (ALO) or including an electronic signature of the ALO in the space provided:*

Signature of ALO: **Click or tap here to enter text.**

*Version: 2023-07-01 EFFECTIVE*